



**ADDITIONAL INSTRUCTIONS FOR A FCSTONE, LLC ACCOUNT OWNED
BY A FOREIGN PERSON OR ENTITY**

1. If you are opening a FCStone, LLC account that is owned by a foreign person or entity, read and sign the **Notice to Foreign Clients Required by CFTC Regulations**.
2. Read and sign the **Representation of Ownership and Investment**.
3. Read the Notice pertaining to the **IRS Form W-8** and contact FCStone for the appropriate document.
4. Return the completed international account documents with the rest of the Customer Account Documents to:

FCStone, LLC
Client Service Center
1251 NW Briarcliff Parkway, Suite 800
Kansas City, MO 64116

**NOTICE TO FOREIGN CLIENTS
REQUIRED BY CFTC REGULATIONS**

CFTC regulation 15.05 designates Futures Commission Merchants ("FCM") as agents for service for foreign traders or foreign brokers who have futures accounts with the FCM.

In summary CFTC Regulation 15.05 provides:

1. The FCM who transacts business for a foreign client is deemed to be an agent for that client. As such, the FCM must accept delivery and service of any communication issued by the CFTC to the foreign client (including the client's customers if the client is a foreign broker). The FCM is then required to transmit the CFTC communications to the foreign client.
2. Before opening an account for a foreign client, the FCM is required to explain the provisions of the Regulation.
3. The foregoing provisions will not apply to a foreign client and the FCM who carries the account if (a) the client executes an agency agreement with a person domiciled in the United States and provides a copy of the agreement to the FCM, and (b) the FCM files the agreement with the CFTC before opening an account or placing orders for an existing account.

However, until such third party agency agreement is received by the FCM and filed with the CFTC, the FCM will have to comply with paragraphs 1 and 2 noted above. Thus, the FCM may accept orders and open the account after this Regulation is explained, but the FCM will be deemed to be the agent of the foreign client until the third party agency agreement has been filed with the CFTC. Further, the FCM must notify the Secretary of the CFTC immediately if such an agency agreement has been terminated or is no longer in effect. The foreign client must also notify the CFTC if the written agency agreement is no longer in effect.

I ACKNOWLEDGE BY SIGNING THE CUSTOMER AGREEMENT THAT I HAVE RECEIVED THIS NOTICE REQUIRED BY CFTC REGULATION 15.05, HAVE READ AND UNDERSTAND IT.

SIGNATURE

DATE

Account Number: _____

Representation of Ownership and Investment

Non-US Customers

Account Number: _____

We hereby represent the following:

1. The account in the name of _____ ("Customer") carried on the books FCStone, LLC ("FCStone") is that of the Customer and not any other entity.
2. There are no funds invested in this account which came from citizens of the United States of America.
3. No solicitation for funds has been made to citizens of the United States of America.
4. The account is not being used by any Securities Firm, Investment Company, Futures Commission Merchant and/or a Commodity Pool Operator domiciled in the United States of America. The Customer is not acting in the capacity of a Securities Firm, Investment Company, Futures Commission Merchant or a Commodity Pool Operator that is registered or required to be registered in the United States of America.
5. Customer has all requisite authority, whether arising under applicable governmental laws, rules and regulations or the rules and regulations of any exchange or self regulatory organization governing Customer's activities and Customer has obtained and will maintain, during the term hereof, all licenses and registrations necessary, for the conduct of its business, including, without limitations, registration with the appropriate governmental authorities, whatsoever, membership in such exchanges or regulatory or self-regulatory organizations as may be necessary for the proper conduct of Customer's business.
6. The Customer, its officers, employees and/or agents, if applicable, will not represent themselves in any way as an agent of FCStone.
7. Reference to FCStone will not be used in any promotional or marketing material used by the Customer.

We agree to notify FCStone in writing at the following address of any changes in this representation thirty (30) days prior to the effective date of those changes:

Director of Compliance
FCStone, LLC
141 West Jackson – Suite 2730
Chicago, IL 60604

Signature

Date

Print Name

W-8 Notice

Due to changes in the regulations of the Internal Revenue Service, multiple versions of the Form W-8 exist. Upon receipt and review of your account application, we will send to you the applicable Form W-8 that relates to the type of account you are opening. This document will need to be completed and returned (a fax copy is acceptable) before the account will be permitted to trade.